

Please type a plus sign (+) inside this box ☐

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

ORT-1474

First Inventor

Richard Apodaca, Nicholas I. Carruthers, Curt A. Dvorak,
Chandravadan R. Shah and Wei Xiao

Title

Non-Imidazole Aryloxypiperidines

Express Mail Label No.

EL710607871US

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
3. ☒ Specification [Total Pages 155]
(Preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 USC 113) [Total Sheets]
5. Oath or Declaration [Total Pages 4]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement
(IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certifications under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other

6. ☐ Application Data Sheet. See 37 CFR 1.76

18. ☐ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No.: , filed

Prior application information: Examiner Group Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

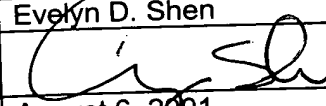
☒ Customer Number or Bar Code Label 000027777 or ☐ Correspondence Address below

Name: Philip S. Johnson, Esq.
Address: Johnson & Johnson
One Johnson & Johnson Plaza
New Brunswick, NJ 08933-7003 USA

20. TELEPHONE CONTACT

Please direct all telephone calls or telefaxes to Evelyn D. Shen at:
Telephone: (732) 524-6040 Fax: (732) 524-2808

21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | | |
|-----------|---|----------------|
| NAME | Evelyn D. Shen | Reg. No. 39834 |
| SIGNATURE |  | |
| DATE | August 6, 2001 | |

Please type a plus sign (+) inside this box ☐

08-07-01

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08/06/01

3C928 U.S. PTO

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PTO
09/22/01
1002 U.S.

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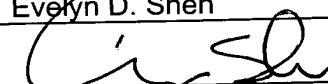
21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME

Evelyn D. Shen

Reg. No. 39834

SIGNATURE



DATE

August 6, 2001

FEE TRANSMITTAL

Complete if Known

Application Number

To Be Determined

Filing Date

August 6, 2001

First Named Inventor

Richard Apodaca et al.

Group Art Unit

Examiner Name

Attorney Docket Number

ORT-1474

FEE CALCULATION

CLAIMS AS FILED

| (1) | (2) | (3) | (4) | (5) |
|---------------------------|--------------------------|--------------|------------|-----------------------|
| FOR: | NUMBER FILED | NUMBER EXTRA | RATE | BASIC FEE \$710.00 |
| TOTAL CLAIMS | 60 - 20 = | 40 | x 18.00 | \$ 720.00 |
| INDEPENDENT CLAIMS | 11 - 3 = | 8 | x 80.00 | \$ 640.00 |
| MULTIPLE DEPENDENT CLAIMS | <input type="checkbox"/> | N/A | \$270.00 | |
| | | | TOTAL FEES | \$2,070.00 |

METHOD OF PAYMENT

- ☒ Please charge Deposit Account No. 10-0750/ORT-1474/EDS in the amount of \$2,070.00. Three copies of this sheet are enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 10-0750/ORT-1474/EDS. Three copies of this sheet are enclosed.

SUBMITTED BY:

Typed or
Printed Name

Evelyn D. Shen

Signature

Evelyn D. Shen

Date: 8/6/01

Complete (if applicable)

Reg. No. 39,834

**Deposit Account
No. 10-0750**

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

02/09/2001 MBIZUNES 00000007 100750 09922619

01 FC:101 710.00 CH
02 FC:103 1404.00 CH

03/09/2001 MBIZUNES 00000050 100750 09922619

01 FC:104 270.00 CH

Adjustment date: 10/03/2001 SDUONG

08/09/2001 MBIZUNES 00000007 100750 09922619

02 FC:103 1404.00 CR

10/03/2001 SDUONG 00000004 100750 09922619

01 FC:103 1296.00 CH

PTO-1556

(5/87)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard Apodaca, Nicholas I. Carruthers,
Curt A. Dvorak, Chandravadan R. Shah and Wei Xiao

For : NON-IMIDAZOLE ARYLOXYPIPERIDINES

Express Mail Certificate

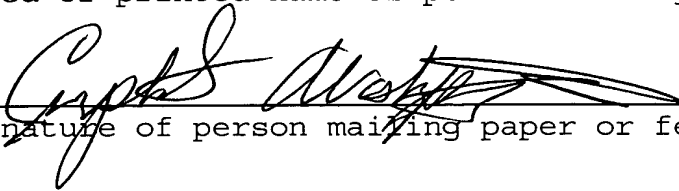
"Express Mail" mailing number: EL710607871US

Date of Deposit: August 6, 2001

I hereby certify that this complete patent application, including utility patent application and fee transmittal sheets, specification pages, claims, and executed Declaration/POA, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Crystal Washington

(Typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

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